SHADOW WOOD SUBDIVISION SEWER SERVICE

A JOINT VENTURE OF

FOURTH AVENUE CORPORATION AND LONG CORPORATION

POST OFFICE BOX 1267 PROSPECT, KENTUCKY 40059

CLAY W. LONG, PRESIDENT

PHONE (602) 241-4849

August 17, 2003

Carolyn Jones **Public Service Commission** P.O. Box 615 Frankfort, Kentucky 40602

RECEIVED

AUG 2 1 2003

PUBLIC SERVICE COMMISSION

Subject: Letter of August 4, 2003, Case #2001-00423

Dear Ms. Jones,

Enclosed are Shadow Wood's new tariff sheets. I had received indication that the record was still open concerning the tariff at the Harbortown Clubhouse from my attorney many weeks ago, and this was the reason I was waiting to file them. However, apparently this matter is closed.

I apologize for any inconvenience caused by the delay.

Please file them for me pursuant to the alternative filing procedures for small utilities. When I received my filed copy from you, I will instruct the Louisville Water Company to make the appropriate changes to the customer charges

Sincerely,

Clay W. Long, President CWL: Enclosures

Shadow Wood Subdivision Sewer Service, a Joint Venture of Fourth Avenue Corporation and Long Corporation

of

Post Office Box 1267 Prospect, Kentucky 40059

Rates, Rules, and Regulations for Furnishing Sewer Service

At:

Shadow Wood Subdivision, The Harbor at Harrods Creek Condominium Complex and Clubhouse, River Creek Subdivision and Clubhouse, The Harbortown Condominum Complex and Clubhouse, The Captain's Quarters Marina and the Captain Quarters

Restaurant

(In the Northeastern Portion of Jefferson County, Kentucky)

Filed with PUBLIC SERVICE COMMISSION OF KENTUCKY

Issued March 13, 2003

Effective September 1, 2003

Issued by: Shadow Wood Subdivision Sewer Service, a joint venture of Fourth Avenue

Corporation and Long Corporation

By:

Shadow Wood Subdivision Sewer Service, A Joint Venture of Fourth Avenue Corporation and Long Corporation Name of Issuing Corporation

CLASSIFICATION OF SERVICE

Residential

\$22,78 per month

Commercial

\$22.78 per month per residential equivalent unit of 12,000

of gallons of water usage. \$22.78 per month minimum.

Legal Surcharge

\$3.46 per Residential Customer or Commercial Customer

per month

Fees:

Fee for delinquent accounts over 60 days

\$25.00 per customer

Finance charge

18% per annum compounded monthly

or 1.5% per month

Return Check Charge

\$25.00

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CLASSIFICATION OF SERVICE

Fine for illegal discharge into system

\$10,000.00 per occurrence plus actual remediation costs, attorney's fees, investigation fees, administrative costs and interest on any unpaid amounts of the above.

Disconnection Fees:

Residential Customers

Commercial Customers

\$50.00

\$5,000.00

Reconnection Fees:

Residential Customers

\$50.00

Commercial Customers

\$5,000.00

Fine for theft of service

\$5,000.00 per occurrence plus cost of

stolen service, attorney's fees,

investigation fees, administrative costs and interest on any unpaid amounts of the

above.

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CLASSIFICATION OF SERVICE

Tap Fees:

Residential Customers:

\$3,000.00 per connection

Customer pays all costs of connection.

Commercial Customer:

\$25,000.00 per connection.

Customer pays all costs of connection.

Annual Service Line Inspection Fee:

\$100.00 per year

New Service Inspection Fee:

\$500.00

Investigation Charge:

\$150.00 per hour

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Rules and Regulations

Introductory Statement

These Rules and Regulations of the Shadow Wood Subdivision Sewer Service are supplementary to those of the Public Service Commission (PSC) of Kentucky. These Rules and Regulations may be revised, amended, supplemented on otherwise changed form time to time subject to approval of the PSC and shall have the same force as the present Rules and Regulations. In case of conflict, the regulations of the PSC shall govern. No employee or officer or director of the Utility is permitted to make an exception to Rates, Rules or Regulations

Service Area

The Utility furnishes sewer service to customers in the Northeastern Portion of Jefferson County, Kentucky, specifically in the Prospect and Harrods Creek area. These customers currently include the following:

The Shadow Wood Subdivision, The Harbor at Harrods Creek Condominium Complex and Clubhouse, River Creek Subdivision and Clubhouse, The Harbortown Condominum Complex and Clubhouse, The Captain's Quarters Marina and the Captain Quarters Restaurant.

Including estimated residential equivalents, the number of customers is 282.

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Rules and Regulations

Billing

The Louisville Water Company is authorized as billing agent for all matters by the

Application for Service

Each prospective customer desiring sewer service is required to sign Shadow Wood's standard Application for Sewer Service before service is supplied by Shadow Wood.

Discontinuance of Service by Shadow Wood

Shadow Wood may refuse to connect or may discontinue service for the violation of, any of its Rules and Regulations, or for violation of any of the provisions of the Schedule of Rates and Charges, or for delinquent accounts over 60 days old, or for failure to pay any of the listed fees, or for theft of service. Discontinuance of service as stated by this rule does not release customer from his or her obligation to Shadow Wood. Service may be discontinued after 5 days written notice to customer. The Louisville Water Company is authorized to stop water service to customer is discontinuance of service to customer is required. Shadow Wood will use reasonable diligence in supplying sewer service, but shall not be liable for breach of contract in the event of, or for loss, injury, or damage to persons or property resulting from interruptions in service, excessive or inadequate water pressure, sewage stop- ups, or otherwise unsatisfactory service, whether or not caused by negligence. Additionally, service will not be restored until all amounts owed to the utility are paid by the customer.

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Rules and Regulations

Applicant's Service Line

It is understood that the applicant will at his own expense pay for the actual physical connection from his line to Shadow Wood's Line. The applicant's service line is to be installed only by a plumber licensed by the Kentucky State Board of Health and the work must be inspected by the authorized plumbing inspector and an authorized representative of Shadow Wood before the line is backfilled. The customer and/or installer making a connection to the sewer shall hold Shadow Wood harmless from any loss or damage that may directly or indirectly be occasioned by connecting to Shadow Wood's sewer. A test tee must be installed by the applicant at the point of connecting to the District's sanitary sewer. All customers are required to install and maintain equipment at the system connection that will prevent back-ups (one-way valves). Shadow Wood assumes no responsibility for back-ups. Customers who have system connections installed prior to March 1, 1975 are required to have an inspection by a licensed plumber to determine that back-up prevention devices are installed. All property damages from back-ups are at customer's expense.

Right of Access

The applicant must agree to permit Shadow Wood to lay, maintain, repair, or remove such sewer line that is the property of Shadow Wood located on the applicant's property with the right of ingress and egress over applicant's property, and inspect the physical connection to the system at any time.

Notice of Trouble

Customer shall notify Shadow Wood immediately should the service be unsatisfactory for any reason, or should there be any defects, trouble, or accidents affecting the supply of water or sewage. Such notices, if verbal, should be confirmed in writing.

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Rules and Regulations

Applicant's Liability

No person shall discharge or cause to be discharged into the sewer, any harmful waters or wastes, whether liquid, solid, or gas, (including grease) capable of causing obstruction to the flow in sewers, damage or hazard to structures, equipment, and personnel of the sewage works, creation of odor problems, or other interferences with the proper operation of the sewage works. Applicant who discharges or causes to be discharged, any harmful waters or wastes into the sewer shall be held liable for ensuing damages and fees listed in the schedule of fees.

Industrial Sewage.

Service Connection/Disconnection Charges

A charge of \$50.00 shall be made for all service connections or disconnections made per residential customer and a charge of \$5,000.00 shall be made for all commercial customers for all service connections and disconnections.

Delinquent Account Charge

A charge of \$25.00 shall be made for all accounts over 60 days old. Additionally, interest in the amount of 18% per annum or 1.5% monthly (compounded monthly) will be applied to all amounts owed the utility.

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Rules and Regulations

Investigation Charge

When an investigation of facilities on customers' premises reveals unauthorized use of sewer system, an investigation fee of \$150.00 per hour shall be charged. The actual cost of repairing damage and correcting the improper service connection, if any shall be charged and the customers bill shall be paid for the amount of service rendered.

Return Check Charge

When a check, including auto pay, is accepted for payment of a bill and the check is not honored by the financial institution, a return check charge, \$25.00 shall be charged.

Service Line Inspection Charges

An annual charge of \$100.00 shall be made to inspect a customers service line from the point of use by the customer to the Water District point of acceptance of waste. The service line inspection charge may be waived if confirmation is received from the Kentucky State Plumbing Inspector that a state plumbing permit has been obtained and the State Plumbing Inspector will inspect the service line. Failure to provide confirmation of inspection from the Kentucky State Plumbing Inspector by February 1st shall constitute acceptance of charged fee.

Service Line Infiltration

The applicant shall correct or repair his sewer service line to eliminate any unmetered water from entering the sewer system within ten (10) days after written notice by Shadow Wood.

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Sewage

Sewage is as defined by 807 KAR 25:060, Section 2(7). Discharge of Grease and other items not defined as sewage is strictly prohibited and constitutes grounds for disconnection from the system.

Connections

The following connections to the system are strictly prohibited: Swimming pool drains, ground or storm water drains or connections, basement sump pumps or underdrains, downspouts or faulty service pipes.

Interuptions In Service

Shadow Wood assumes no responsibility for interruptions in service, or damages resulting to customer for interruptions in service.

Lift Station Utility Bills

Effective immediately, all utility bills related to a customer's lift station will be the customer's responsibility. Shadow Wood will inform Louisville Gas and Electric Company that any lift station electric bills that serve a customer will be the customer's obligation.

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